

This form is used to register the full beneficial ownership of a foal born in the 2016 season onwards.  
The new owners are responsible for lodging the form within four (4) weeks of each transfer taking place.  
Full contact details, including mobile number and email address are to be provided for each owner where indicated  
**The total share percentage of all owners must equal 100%**

## When should an Unnamed Horse Transfer of Ownership form be completed?

Any change of ownership of an Unnamed Horse born from 2016 onwards must be advised on an Unnamed Horse Transfer of Ownership form within four (4) weeks of the transfer taking place.

## Who is required to sign the form?

All owners relinquishing their interest as well as all new owners must sign the form. The Manager may sign the form on behalf of all remaining owners (being those owners who are neither relinquishing nor acquiring a share in the horse), provided there are no changes to the share percentage of each remaining owner. The Manager must notify all remaining owners of the transfer of ownership in advance. By signing this form, each new owner consents to any future changes to the ownership composition and structure of the horse, provided there are no changes to the share percentage of each remaining owner.

By signing this Unnamed Horse Transfer of Ownership form: (a) each owner agrees that RA, or any Principal Racing Authority, to the maximum extent permitted by law, is not liable to make any payment for any claim, loss or liability that may arise from the Manager signing an Unnamed Horse Transfer of Ownership or Change of Share % on behalf of that owner; and (b) the Manager indemnifies RA, and any Principal Racing Authority, against any claim, loss or liability that arises from that Manager signing a Transfer of Ownership or Change of Share % behalf of another person.

The new owners (transferees) are responsible for lodging the form.

## What if I cannot obtain the signature of an outgoing owner?

All reasonable attempts must be made to gain the signatures of the relinquishing owners. If the signature of any owner cannot be obtained then the Unnamed Horse Transfer of Ownership will not be recognised.

## Horses Purchased From Approved Auctioneers

Racing Australia has an approved list of auctioneers who have the authority to sign the Unnamed Horse Transfer of Ownership form on behalf of the Outgoing Owners. In these instances the form must be stamped with the official auctioneer company name and details.

## Appointment of Manager (Owner 1)

The Manager of a horse is the first named person recorded on the Unnamed Horse Transfer of Ownership form. If the first named owner is a registered syndicate, the Syndicate Manager is deemed to be the Manager of the horse.

The manager acts for and represents the other joint owners as described in the Australian Rules of Racing.

## Types of Ownership

An owner can be a:

- Natural Person
- Registered Syndicate
- Company
- Firm
- Stud
- Lessee

## Fitness and Propriety of Applicants

All individuals who hold a share or interest in the ownership of an Unnamed Horse born from 2016 onwards are required to notify the Registrar of Racehorses if they:

- Have been convicted of or have a pending charge against them for any offence involving violence against a person or dishonest or criminal activity in the past 10 years; or
- Have ever been convicted under the Australian Rules of Racing or the rules of any other Racing Authority

Details of the offence must be submitted in writing prior to an application being lodged. If an individual neglects or fails to truthfully respond to these questions, this application and any other application concerning the individual may be refused or cancelled or other penalties incurred.

## Privacy and Personal Information

### Your Personal Information

The personal information collected on this form includes your name, birth date, address, contact details, email, mobile. This information is required to:

- Identify you
- Communicate with you

Where information is not provided your application may be refused or delayed until the required information is provided.

## How your personal information is used

The information collected about you will be shared with the relevant Principal Racing Authority (PRA) in each state and territory of Australia in order to:

- Enable PRAs to fulfill their regulatory responsibilities
- Promote and protect the integrity of the sport to ensure compliance with the Rules of Racing
- Communicate with you including for promotional, marketing and publicity purposes
- Enable PRAs to assist industry associations such as Breeder, Trainer and Owner Associations

Personal information may be disclosed to third parties such as government enforcement agencies, appeal bodies, race clubs, the Australian Stud Book, wagering service providers, international racing bodies, or other authorities as required, but only for one or more of the purposes described above and, where approval is needed, where approved by the PRA and/or RA. Disclosures to such bodies may also be made if RA and/or PRA believes that the disclosure is reasonably necessary for law enforcement either by or on behalf of an enforcement body or the disclosure is authorised or required by law.

Names of horse owners are publicly available on certain websites and may also appear in race field lists, race books, form guides and similar publications where appropriate.

RA's Privacy Policy, found at

<http://www.racingaustralia.horse/AboutUs/PrivacyPolicy.aspx>, contains information about: how to contact RA; the purposes for which RA collects personal information; the organisations and types of organisations to whom RA usually discloses personal information (and the countries in which overseas recipients are likely located); how you may seek access to or correct information RA holds about you; and how you can make a complaint if you believe that RA has interfered with your privacy.

## Principal Racing Authorities (PRAs)

- Racing New South Wales
- Racing Victoria
- Racing Queensland
- Thoroughbred Racing South Australia
- Racing and Wagering Western Australia
- Tasracing
- Thoroughbred Racing Northern Territory
- Canberra Racing Club

## Access to Information

You can access and request changes to the information held about you by contacting Racing Australia or relevant PRA.

## Fees and Payment Options

The fee to register an Unnamed Horse Transfer of Ownership form is **\$55.00**

Please note GST is not applicable.

Payment options include cheque, money order, VISA or MasterCard.

## Completed Unnamed Horse Transfer of Ownership forms

Completed forms should be forwarded within four (4) weeks of the transfer taking place to:

The Registrar of Racehorses  
Level 11, 51 Druitt Street  
Sydney NSW 2000  
T: +61 2 8072 1900  
E: [registration@racingaustralia.horse](mailto:registration@racingaustralia.horse)

This form is used to register the full beneficial ownership of a foal born in the 2016 season onwards.

All fields of the form are required to be completed on your application. Where information is not provided your application may be delayed including acceptance of your mare return. Please complete all relevant details using BLOCK letters in black or blue pen.

DAM NAME

FOAL DATE OF BIRTH (dd/mm/yyyy)

## Managing Owner's Declaration

As Managing Owner I, \_\_\_\_\_, acknowledge the details of the Unnamed Horse Transfer of Ownership below and attached to be true and correct and confirm I have notified all remaining owners (being those owners who are neither relinquishing nor acquiring a share in the horse), if any, of the transfer(s) stated on those forms.

**SIGNATURE**

**DATE** (dd/mm/yyyy)



## Horses Purchased at an Auction

Please ensure the Auctioneer is on the RA approved list and that this form is stamped with the Auctioneer company Name and detail.

Auctioneer Name	Company	Auctioneer's Signature
<input type="text"/>	<input type="text"/>	<input type="text"/>

## Outgoing Owners

Only each owner relinquishing their share, or part thereof, in this horse must sign the section below. The Managing owner may sign the declaration above on behalf of those remaining owners whose share percentage remains unchanged. Where an owner is relinquishing part of, or increasing their share, they must also complete the appropriate Transfer or Ownership page following, noting their new share percentage. The Registrar may refuse the Transfer at their discretion if all outgoing Owners have not signed and the reason is not acceptable to the Registrar.

	Print Name	Signature	Witness Name	Signature
Owner	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Owner	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Owner	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Owner	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Owner	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Owner	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Owner	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Please print form, sign and submit to Racing Australia

## Payment

The fee to lodge a transfer of ownership for an unnamed horse is **\$55.00**. Please note GST is not applicable.

Payment options include VISA or MasterCard and Cheque.

CARDHOLDER'S NAME

CARD NUMBER (VISA OR MASTERCARD ONLY)

 /  /  / 

CARDHOLDER'S SIGNATURE

EXPIRY DATE

 / 

CVN

TOTAL AMOUNT

\$

DAM NAME

FOAL DATE OF BIRTH (dd/mm/yyyy)

LOCATION OF FOAL AT THE TIME OF THE DECLARATION

Post Code

## New Owner Details

(One for each to be completed by an incoming Owner, or any Owner already holding an interest in the horse where their share percentage is changing)

OWNER No  enter number

Please Tick

Natural Person

Registered Syndicate

Company

Partnership

Firm

Stud

Lessee

DATE OF BIRTH (dd/mm/yyyy)

If other, please specify

Mr  Mrs  Miss  Ms  Other

SURNAME OF OWNER

GIVEN NAMES OF OWNER

NAME OF REGISTERED SYNDICATE / FIRM / STUD / LESSEE / PARTNERSHIP/ COMPANY

POSTAL ADDRESS

Post Code

DAYTIME PHONE

MOBILE

EMAIL

## DECLARATION

As a condition of this transfer of ownership (unnamed horse) form lodgement I agree to comply and be bound by the Rules of the Australian Stud Book and Australian Rules of Racing and the local rules and regulations of the principal racing authority in each state or territory of Australia. The names on this form fully disclose the true and accurate ownership of the horse.

- I am over 18 years at the time of signing this declaration

- RA or any principal racing authority to the maximum extent permitted by law, is not liable to make any payment for any claim on loss or liability that may arise from the lodgement of this form

- I indemnify RA or any principal racing authority against any claim, loss, damage, liability, cost or expense that arises from signing and lodging this form

SIGNATURE OF OWNER

DATE

SHARE

 %

Please print form, sign and submit to Racing Australia